



# **DON BOSCO ARTS & SCIENCE COLLEGE**

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## **Securities Operation & Risk Management**

**2017-18, 2018-19 & 2019-20  
BBA**



# Brochure

Acumen is a National Level Financial Services Corporate based at Ernakulam, Kerala, having presence into all aspects of Financial Planning and Investments for an Ultimate Investor.

We Offer Trading in Equity, Commodity, Currency apart from Tax Planning, Fixed Deposits, Mutual Funds and other hybrid Wealth Management Products.

We'll interconnect with All Stock & Commodity Exchanges of the country like, NSE, BSE, NSEI, MCX, ICDEX, NCDEX and Depositories like NSDL and CDSL.

**NSE BSE MCX XNSEI NCDEX**

**NSDL CDSL**

**GIFT**  
Commodityonline Institute of Financial Training

An Initiative of  
**ACUMEN**  
ACUMEN Capital Market (India) Ltd.


Creates awareness in various career opportunities in the Financial Service Sector

Having presence across the Country with 550+ Locations Servicing One Lakh + Retail Investors.

- Add-on programmes in Financial topics.
- Summer Internship for eligible candidates
- Project guidance for MBAs.

S.T. Reddy & Sons Building  
Vakkattanam Road, Cochin - 682 035

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90893 12949  
www.acumengroup.in



Certified Training Partner of **BFSI** and **N.S.D.C. National Skill Development Corporation**

Commodityonline Institute of Financial Training is an Initiative of Acumen Capital Market (India) Ltd. one of India's leading Financial Service Company, having a mission: "Empower Investors Through Education"; is conducting various training programmes for the investing communities.

**Add-on Management Programme** for 25-30 hours to Management & Commerce students.

Coaching to students for Job oriented certifications offered by National Institute of Securities Markets (NISM) a Government of India initiative under Securities and Exchange Board of India (SEBI). These certifications are mandatory for getting employment in the Financial Sector.

Issuing certificates to the successful candidates after thorough evaluations. They will be eligible to appear for the valuable NISM online certifications.

**Subjects covered in the course**

- Stock Market Operations
- Depository Services
- Public Issues
- Mutual Funds
- Basics of Equity Derivatives
- Importance of Financial Planning

**Specialties Offered**

- NISM/BFSI Approved Syllabus
- 25-30 Hours Training
- Theory & Practical Classes.
- Evaluation and Issue of certificates.
- Eligibility to appear for SEBI/NISM Online Examinations.

**Other offerings**

- Summer Internship for selected students.
- Project Guidance for MBAs.
- 30 hrs special coaching programme on Capital Market for beginners .
- Educated house wives and senior citizens can attend this special coaching .
- Theory-Practical classes by subject experts.
- Job seekers also can apply.




Sl	SYLLABUS		Theory (Hr)	Practical (Hr)	Total Duration (Hr)
<b>I</b>	<b>Introduction to Securities Markets</b>				
1	Chapter 1	The Financial System & Market Participants	1		1
2	Chapter 1	The Financial System & Market Participants		1	1
3	Chapter 1	Introduction to Capital Market	1		1
4	Chapter 1	Introduction to Capital Market		1	1
5	Chapter 1	Primary Market in Detail	1		1
6	Chapter 1	Primary Market in Detail		1	1
7	Chapter 1	History of Capital Market	1		1
8	Chapter 1	History of Capital Market		1	1
9	Chapter 1	Secondary Market in Detail	1		1
10	Chapter 1	Secondary Market in Detail		1	1
11	Chapter 1	Derivatives Market in Detail	1		1
12	Chapter 1	Derivatives Market in Detail		1	1
13	Chapter 1	BSE and NSE Indices	1		1
14	Chapter 1	BSE and NSE Indices		1	1
15	Chapter 1	Depository System in Detail	1		1
16	Chapter 1	Depository Functions in Detail	1		1
17	Chapter 1	Depository Functions in Detail		1	1
18	Chapter 1	Depository Functions in Detail		1	1
<b>II</b>	<b>Placing Orders</b>				
19	Chapter 2	Placing Orders	1		1
20	Chapter 2	Various Types of Orders		1	1
21	Chapter 2	Various Types of Orders		1	1
22	Chapter 2	Trade Management	1		1
23	Chapter 2	Trade Management		1	1
24	Chapter 2	Market Sessions	1		1
25	Chapter 2	Market Sessions		1	1
<b>III</b>	<b>Trading Software</b>				
26	Chapter 3	Procedures for Trading	1		1
27	Chapter 3	Securities of Various Groups	1		1
28	Chapter 3	Trading System and Screen		1	1
29	Chapter 3	Perform Trade Functions on Screen		1	1
<b>IV</b>	<b>Trading Cycle and Settlement</b>				
30	Chapter 4	Market Timings & Corporate Actions	1		1
31	Chapter 4	Steps in Transaction Cycle	1		1
32	Chapter 4	Trading Cycle and Settlement	1		1
33	Chapter 4	Circuit Filter / Price Band for Scrips	1		1
34	Chapter 4	Performing Trading in Capital Market	1		1
35	Chapter 4	Performing Trading in Capital Market		1	1
36	Chapter 4	Performing Trading in Capital Market		1	1
<b>V</b>	<b>Mathematical Tools for Financial Analysis</b>				
37	Chapter 5	Performing Fundamental Analysis	1		1
38	Chapter 5	Performing Fundamental Analysis		1	1
39	Chapter 5	Performing Technical Analysis	1		1
40	Chapter 5	Performing Technical Analysis		1	1
<b>VI</b>	<b>Dealing Operations</b>				
41	Chapter 6	Carry Out Dealing Operations		1	1

42	Chapter 6	Carry Out Dealing Operations		1	1
43	Chapter 6	Corporate Actions		1	1
44	Chapter 6	Duties & Responsibilities		1	1
45	Chapter 6	Role of the Dealing Executive	1		1
<b>VII</b>	<b>Introduction to Mutual Funds</b>				
46	Chapter 7	Mutual Funds & Types of Funds	1		1
47	Chapter 7	Systematic Plans in Mutual Funds	1		1
48	Chapter 7	Systematic Plans in Mutual Funds		1	1
49	Chapter 8	Systematic Plans in Mutual Funds		1	1
50	Chapter 8	Systematic Plans in Mutual Funds		1	1