



K17U 0180

Reg. No. :

Name :

VI Semester B.Com. Degree (CCSS – Supple./Improv.)
Examination, May 2017
Core Course
6B16 COM : FINANCIAL MARKETS AND SERVICES
(2012-2013 Admns.)

Time : 3 Hours

Max. Weightage : 30

PART – A

This Part consist of **two** bunches of question carrying **equal** weightage of **one**. **Each** bunch consist of **4** objective type questions. Answer **all**.

I. 1) Shares issued to employees on making available intellectual property rights

- | | |
|----------------------|--------------------|
| a) Sweat equity | b) Defensive share |
| c) Speculative share | d) Cyclical share |

2) Bonds issued without any rate of interest.

- | | |
|----------------------|------------------|
| a) Bearer bonds | b) SPN |
| c) Zero coupon bonds | d) None of these |

3) Organised market for buying and selling of secondhand listed securities

- | | |
|-------------------|-------------------|
| a) Stock exchange | b) Capital market |
| c) Bill market | d) Primary market |

4) _____ guarantees the sale of securities

- | | |
|-----------------|-----------------|
| a) Marketing | b) Underwriting |
| c) Guaranteeing | d) Broking |

(Wt: 1)

II. 5) An unlisted company makes fresh issue of shares for the first time.

- | | |
|----------------|----------------|
| a) Right issue | b) Bonus issue |
| c) IPO | d) Share |

P.T.O.



- 6) Daily or weekly price limits within which the price is allowed to rise or fall.
- a) Price band
 - b) Price limit
 - c) Premium
 - d) GSO
- 7) A Mutual Fund Scheme having a dual composition of share and bonds
- a) Equity fund
 - b) Debt fund
 - c) Hybrid fund
 - d) Value fund
- 8) Multipurpose institutions which share entrepreneurial risk
- a) Commercial bank
 - b) Foreign bank
 - c) Industrial Bank
 - d) Development bank

(Wt : 1)

PART – B

Answer **any eight** questions. **Each** carries **one** weight.

- 9. What is loan syndication ?
- 10. What is derivative security ?
- 11. What is swaps ?
- 12. Define call money market.
- 13. What is export bills ?
- 14. What do you mean by commercial paper ?
- 15. What is book building ?
- 16. Who is an underwriter ?
- 17. Define capital market.
- 18. What is right issue ?

(Wt : 1×8=8)



PART – C

Answer **any six** questions. **Each** carries **2** weights.

19. What are the different types of derivatives ?
20. Explain the rights of investors in mutual fund.
21. What are the different stages of securitisation ?
22. Explain the functions of a factor.
23. What are the different types of finance provided by venture capitalists ?
24. What are the different Mutual Fund Scheme on the basis of execution and operation period ?
25. What are the objectives of investors in a capital market ?
26. What are the activities of a depository ? (Wt : 2×6=12)

PART – D

Answer **any two** questions. **Each** carries **4** weights.

27. Explain the major factors that have contributed to the development of Indian capital market.
 28. Define stock exchange. Explain the features of stock exchange.
 29. Discuss the challenges of Indian financial services. (Wt : 4×2=8)
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