



K16U 1480

Reg. No. :

Name :

V Semester B.B.A. Degree (CCSS-Supple./Imp.)
Examination, November 2016
B.B.A. Core Course
5B13 BBA : CAPITAL MARKET AND INVESTMENT MANAGEMENT
(2012-13 Admissions)

Time : 3 Hours

Max. Weightage : 30

PART – A

This Part consist of **two** bunches of question carrying **equal** weightage of **one**.
Each bunch consist of **four** objective type of questions. Answer **all** questions.

I. 1) _____ markets are generally confined to the stock exchanges

- | | |
|--------------|------------------|
| a) Primary | b) Secondary |
| c) Territory | d) None of these |

2) _____ is the process of investment, by which a sponsor or syndicate of investors make direct investment in the company.

- | | |
|--------------------|------------------|
| a) Placement | b) Offer of sale |
| c) Bought out deal | d) Right issue |

3) The leading borrowers in the capital market are _____

- | | |
|----------------|---------------|
| a) Individuals | b) Firms |
| c) Government | d) Corporates |

4) The first organized stock exchange in India was started in _____

- | | |
|-----------|-------------|
| a) Delhi | b) Calcutta |
| c) Mumbai | d) Cochin |

[W=1]

II. 5) The market regulator of Indian Capital Market is _____

6) A Guarantee given for subscription of Securities means _____

7) The market for short term securities is termed as _____

8) _____ is a method of transferring from physical form to electronic form.

[W=1]

P.T.O.



PART – B

Answer **any eight** questions in **one** or **two** sentences **each**. **Each** question carries a weightage of **one**.

9. What is speculation ?
10. What is Primary Market ?
11. What do you mean by Listing of Securities ?
12. What are mutual fund ?
13. Define Investment.
14. What is a bond ?
15. What is forward contract ?
16. What is equity ?
17. Who is a depository ?
18. What is Syndicate underwriting ?

(W = 8×1=8)

PART – C

Answer **any six** questions. Answer **not** to exceed **one** page. **Each** carries a weightage of **two**.

19. Explain the need and benefits of investment.
20. Distinguish between primary and secondary markets.
21. Explain the features of bonds.
22. What are the different kinds of preference shares ?
23. Discuss the advantages of OTC exchanges.
24. What are the benefits of a demat account ?
25. Explain the important capital market Instruments.
26. Explain the functions of stock exchanges.

(W = 6×2=12)

PART – D

Answer **any two**. **Each** question carries a weightage of **four**.

27. Explain the role and functions of SEBI.
28. Explain the scope and importance of capital market.
29. Explain the methods of raising funds from the primary market.

(W = 2×4=8)