



K21U 0171

Reg. No. : .....

Name : .....



**VI Semester B.B.A. Degree (CBCSS – Reg./Supple./Improve)  
Examination, April 2021  
(2014 – 2018 Admissions)  
Core Course**

**6B17BBA : CAPITAL MARKET AND INVESTMENT MANAGEMENT**

Time : 3 Hours

Max. Marks : 40

**SECTION – A**

Answer **all** questions. **Each** question carries  $\frac{1}{2}$  mark.

1. National Stock Exchange was incorporated in the year \_\_\_\_\_
2. EIC Analysis stands for \_\_\_\_\_
3. \_\_\_\_\_ candlestick is used to represent a situation where the closing price of the day is higher than the opening price.
4. \_\_\_\_\_ is the variability of returns in investments. ( $\frac{1}{2} \times 4 = 2$ )

**SECTION – B**

Answer **any 4** questions. **Each** question carries **1** mark.

5. Define mutual fund.
6. What is Speculation ?
7. What is Technical Analysis ?
8. What is FPO ?

P.T.O.



- 9. What do you mean by Depository ?
- 10. What is Efficient Market Theory ?
- 11. What is RSI ?
- 12. What do you mean by Listing ?

(1×4=4)

SECTION – C

Answer **any 6** questions. **Each** question carries **3** marks.

- 13. Differentiate between Primary market and Secondary market.
- 14. Explain the different stages of Industry Life Cycle.
- 15. Explain the different principles and hypothesis of Dow Theory.
- 16. Distinguish between Investment and Speculation.
- 17. Briefly describe the techniques of Economic Forecasting.
- 18. Describe the formation of Bullish Trend and Bearish Trend in the market.
- 19. State and explain the objectives of investment.
- 20. Explain the role of depositories in security trading.
- 21. Explain the different forms of efficient market hypothesis.
- 22. What are the merits and demerits of technical analysis ?
- 23. What are the characteristics of investment ?
- 24. Distinguish between Public Issue and Right Issue.

(3×6=18)



SECTION – D

Answer **any 2** questions. **Each** question carries **8** marks.

25. What is investment ? Describe the important investment avenues available to savers in India.
  26. What is SEBI ? What are the functions of SEBI ?
  27. What do you mean by price charts ? Describe the different types of price charts used by technical analysts.
  28. What is a Stock Exchange ? Describe the functions of Stock Exchanges.
  29. What do you mean by EIC framework ? Explain the economy, industry and company wide factors affecting the performance of companies.
  30. What do you mean by NIM ? What are the methods of floating new issues ?  
(8×2=16)
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